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11/28/01*

Patent

Attorney Docket No.: MTI-31532

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of : Weimer, Ronald A.  
Serial No. : 09/941,827  
Confirmation No. : 7551  
Filing Date : August 29, 2001  
For : Method of Improved High K Dielectric - Polysilicon Interface for CMOS Devices  
Group Art Unit : 2812

**CERTIFICATION UNDER 37 CFR 1.8(a) and 1.10**

I hereby certify that, on the date shown below, this correspondence is being:

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37 CFR 1.8(a)  Mailing  
 with sufficient postage as first class mail  As "Express Mail Post Office to Addressee" Mailing Label No.  
 transmitted by facsimile to Fax No.: addressed to Examiner at the Patent and Trademark Office.  Transmission

Date: November 28, 2001

*Don J. Strodt*

Assistant Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97/98**

In compliance with Applicant's duty of disclosure as set forth in 37 C.F.R. §1.56, listed on the attached equivalent to Form PTO-1449 are those patents and other publications known to the Applicant which may be considered material to the patentability of the claims of the above-captioned application.

The Applicant respectfully requests that the documents listed on the attached equivalent to Form PTO-1449 be considered by the Examiner, that these references be made of record in the present applicant, and that an initialed copy of the attached equivalent to Form PTO-1449 be returned to the undersigned in accordance with MPEP 609.

Respectfully submitted,

*Kristine M. Strodt*  
Kristine M. Strodt  
Registration No. 34259

Dated: November 28, 2001

**P.O. ADDRESS:**

WHYTE HIRSCHBOECK DUDEK S.C.  
111 East Wisconsin Avenue, Suite 2100  
Milwaukee, Wisconsin 53202  
(414) 273-2100  
Customer No.: 022202

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Washington, D.C. 20231		ATTY. DOCKET NO. MTI-31532	Serial No. 09/941,827
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Applicant Weimer, Ronald A.	Confirmation No. 7551
		Filing Date August 29, 2001	Group Art Unit 2812

## U.S. PATENT DOCUMENTS

		Patent Number	Issue Date	Patentee	U.S. Class	Sub-Class	Filing Date
	A1	5,634,974	06-03-97	Weimer et al.	117	103	11-03-95
	A2	5,688,550	11-18-97	Weimer et al.	427	8	12-15-95
	A3	5,759,262	06-02-98	Weimer et al.	117	88	04-02-97
	A4	5,962,065	10-5-99	Weimer et al.	427	8	09-03-97
	A5	6,087,229	07-11-00	Aronowitz et al.	438	287	03-09-98
	A6	6,124,164	09-26-00	Al-Shareef et al.	438	240	09-17-98
	A7	6,146,959	11-14-00	DeBoer et al.	438	393	06-15-98
	A8	6,162,744	12-19-00	Al-Shareef et al.	438	785	02-28-98
	A9	6,198,124	03-06-01	Sandhu et al.	257	310	05-28-98
	A10	6,207,587	03-27-01	Li et al.	438	769	06-24-97
	A11	6,218,256	04-17-01	Agarwal	438	393	04-13-99
	A12	6,235,571	05-22-01	Doan	438	240	03-31-99
	A13	6,245,652	06-12-01	Gardner et al.	438	592	06-21-00
	A14	6,245,689	06-12-01	Hao et al.	438	769	09-08-98
	A15	6,291,868	09-18-01	Weimer et al.	257	413	02-26-98

## FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

Examiner Initial		Document Number	Publication Date	Country	Int'l Class	Sub-Class	Translation (Yes/No)
	B1						

## OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

Examiner Initial		Non-Patent Document
	C1	

Examiner Initials	Date Considered
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

THE STAMP OF THE U.S. PATENT OFFICE HEREON DENOTES RECEIPT  
ON THE DATE STAMPED OF:

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Information Disclosure Statement

Enclosures: Information Disclosure Statement Transmittal; PTO-1449; 15  
references and return postcard.

DATE MAILED: November 28, 2001  
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*KMS*